



# 2022 DRAGON 5 SYMPOSIUM

## MID-TERM RESULTS REPORTING

17-21 OCTOBER 2022

**PROJECT ID. 59332**

**GEOPHYSICAL AND ATMOSPHERIC RETRIEVAL FROM SAR DATA  
STACKS OVER NATURAL SCENARIOS**





# Dragon 5 Mid-term Results Project



**OCTOBER 18, 2022**

**ID. 59332**

**PROJECT TITLE: GEOPHYSICAL AND ATMOSPHERIC RETRIEVAL FROM SAR DATA STACKS OVER NATURAL SCENARIOS**

**LEAD INVESTIGATORS:**

**PROF. DEREN LI AND PROF. FABIO ROCCA, WUHAN UNIVERSITY & POLITECNICO DI MILANO**

**PRINCIPAL INVESTIGATORS:**

**PROF. MINGSHENG LIAO AND PROF. STEFANO TEBALDINI, WUHAN UNIVERSITY & POLITECNICO DI MILANO**

**CO-AUTHORS:**

**PROF. ANDREA MONTI GUARNIERI, POLITECNICO DI MILANO**

**PROF. LU ZHANG, PROF. JIANYA GONG, WUHAN UNIVERSITY**

**PROF. MI JIANG, SUN YAT-SEN UNIVERSITY**

**PROF. FABRIZIO LOMBARDINI, UNIVERSITY OF PISA**

**PRESENTED BY: STEFANO TEBALDINI**





**Overarching goal:** development and application of processing methodologies for future stack-based spaceborne applications.

**Two specific sub-topics:**

- ***Subsurface target detection and imaging***

⇒ internal structure of natural media

- ***Monitoring atmospheric phase field and surface deformations***

⇒ joint estimation of deformation and water vapour maps

The activities are intended to support use of multi-pass data stacks from:

- the upcoming P-Band mission BIOMASS
- future L-Band missions, such as the SAOCOM constellation, the upcoming Chinese L-Band bistatic Mission Lu-Tan1, and potentially Tandem-L and Rose-L
- C-Band Sentinel Missions and X-Band Cosmo-Skymed Missions





Data access (list all missions and issues if any). NB. in the tables please insert cumulative figures (since July 2020) for no. of scenes of high bit rate data (e.g. S1 100 scenes). If data delivery is low bit rate by ftp, insert “ftp”

ESA, Explorers & Sentinels data	No. Scenes
1.Sentinel-1	700
2. ASAR (04/2007- 02/2009)	19

ESA Third Party Missions	No. Scenes
1.TerraSAR-X/TanDEM-X and PAZ constellation	60
Other data sources	No. Scenes
1. ALOS-2 PALSAR-2	60
Total:	
Issues:	

ESA Campaigns	No. Scenes
1.TomoSense P	40
1.TomoSense L	40x2
1.TomoSense C	40x2
4. AlpTomoSAR	32
5. AfriSAR	10
Total:	≈ 242



Name	Institution	Poster title	Contribution
<b>Marco Manzoni</b>	Politecnico di Milano	Large scale SAR Atmospheric Phase Screens estimation with GNSS cross-calibration	Main author, data procurement, signal processing
<b>Naomi Petrushevsky</b>	Politecnico di Milano	Large scale SAR Atmospheric Phase Screens estimation with GNSS cross-calibration	Co-author, data procurement, signal processing





Chinese YS	Institution	Current position
<b>Chuanjun Wu</b>	Wuhan University, <i>Academic exchange at PoliMi for a period of two years(since Jun.,,2022)</i>	<b>Ph.D. student</b>
<b>Ru Wang</b>	Wuhan University, <i>Academic exchange at University of Leeds for a period of two years(since Sep.,2021)</i>	<b>Ph.D. student</b>
<b>Yi'an Wang</b>	Wuhan University, <i>Academic exchange at Polytechnic University of Catalonia for a period of a year and a half (since Oct.,2022)</i>	<b>Ph.D. student</b>





## **2020-2021:**

- Procurement of satellite stacks.
- Acquisition of campaign data.
- Data pre-processing, preliminary analysis.
- Presentation at Dragon symposium 2021.

## **2021-2022:**

- Advanced analysis of satellite data.
- Advanced analysis of campaign data.
- Presentation at Dragon symposium 2022.
- Preparation of one or more journal papers

## **2022-2023:**

- Advanced data analysis.
- Presentation at Dragon symposium 2023.

## **2023-2024:**

- Presentation of final results
- Preliminary analysis of BIOMASS data.
- Presentation at Dragon symposium 2024.
- Preparation of one or more journal papers



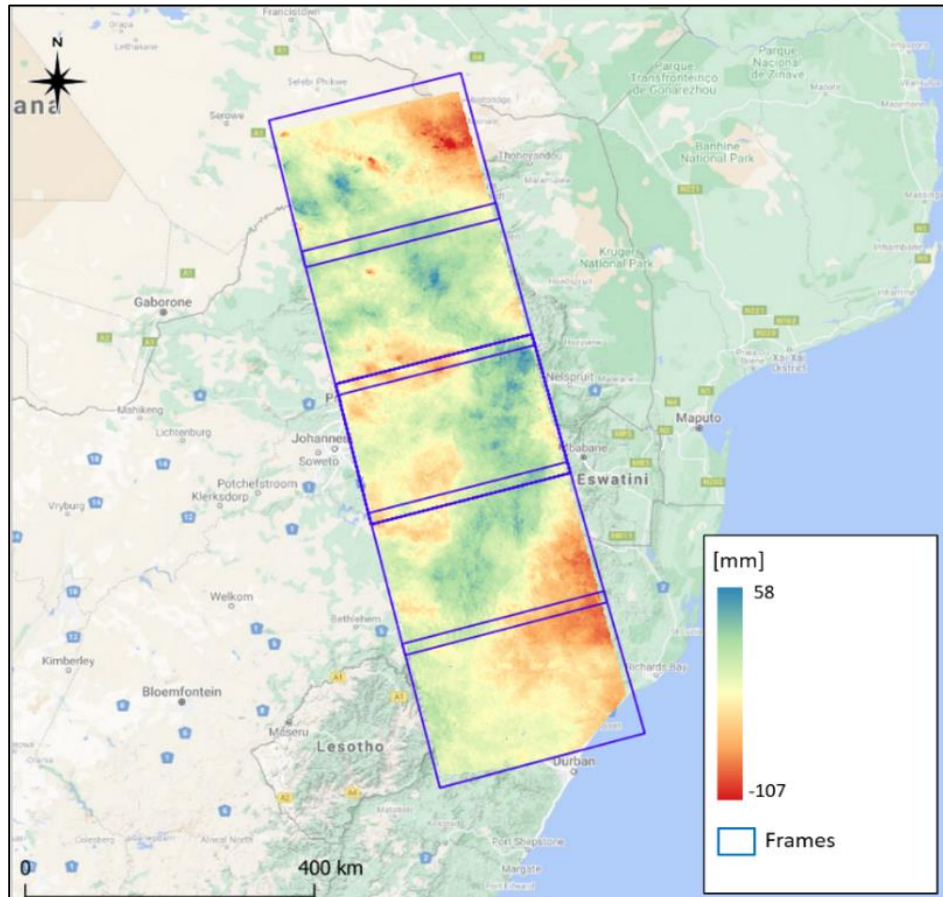


- Development and testing of methodologies for the characterization of the internal structure of forested areas.
- Development and testing of methodologies for estimation and compensation of ionospheric and tropospheric phase screens.
- Validation against reference data from airborne campaigns.
- Validation on simulated P- and L-band spaceborne bistatic data.
- Development of an efficient method to estimate and remove ionospheric phase from C band Sentinel-1 stacks already coregistered and DEM compensated (i.e. output from SNAP tool)
- Develop of a method to generate (1) absolute water-vapor maps at fine resolution by integrating GNSS, SAR (long term PS and short term DS) and meteorological mode – over very wide area and aiming to near real time, (2) deformation series, local and high resolution
- Validation of developed methods
- Analysis of data from BIOMASS after launch.





## *Monitoring atmospheric phase field and surface deformations*



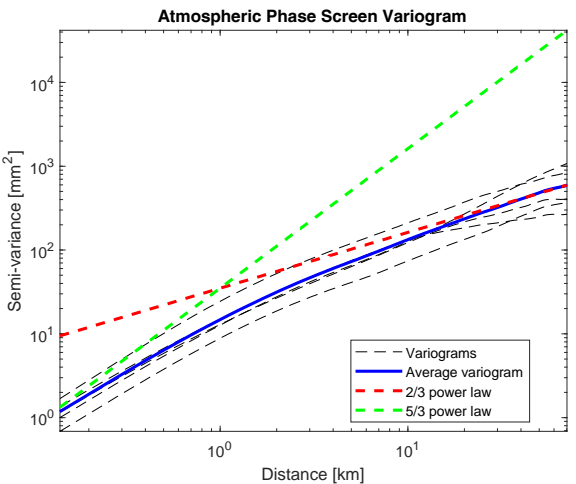
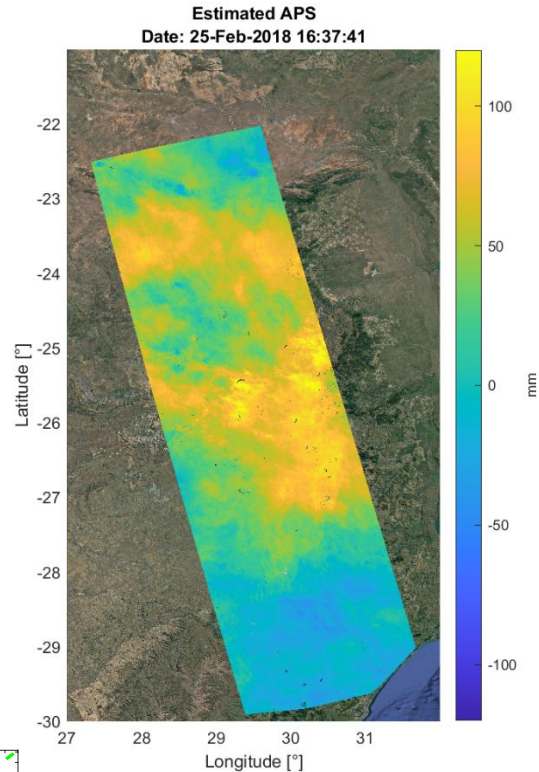
Generation of GNSS calibrated differential ZWD maps from Sentinel-1, in synergy with TWIGA-EU project.

The objective now is a comparison with Gacos, and the generation of an absolute product that can be assimilated into water-vapor map.



## South Africa

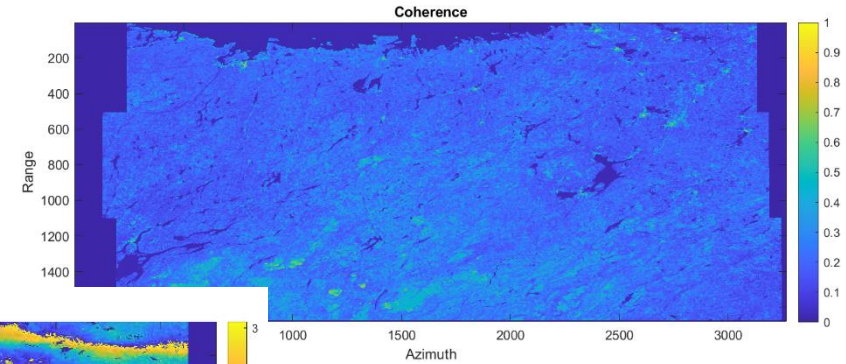
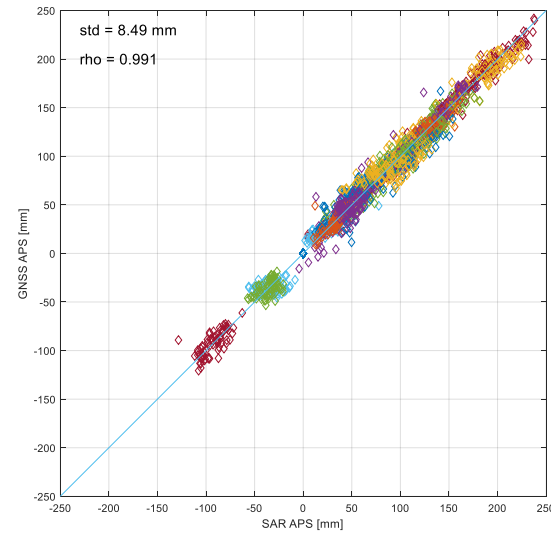
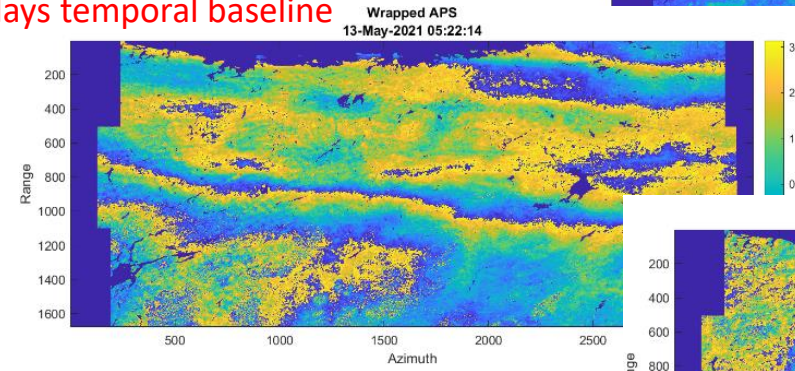
- $250 \times 850 \text{ km}^2$
- Very good accordance with theoretical models



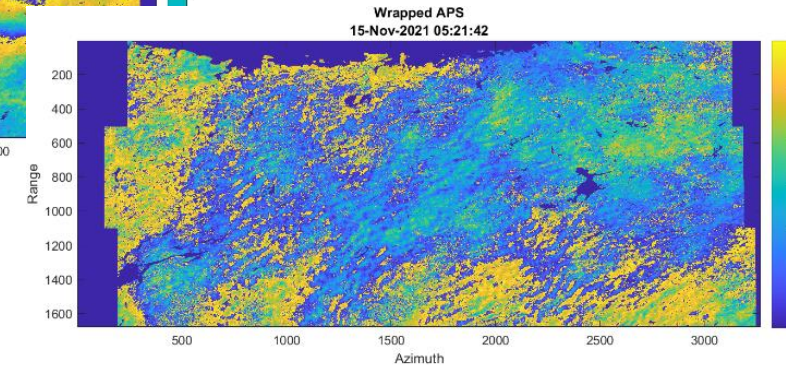
## Sweden

- Poor coherence, but still the phase is smooth

6 days temporal baseline

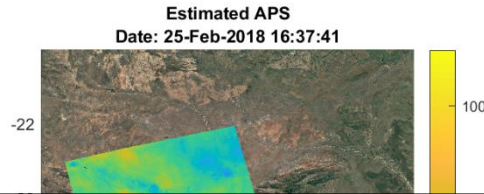


6 months temporal baseline



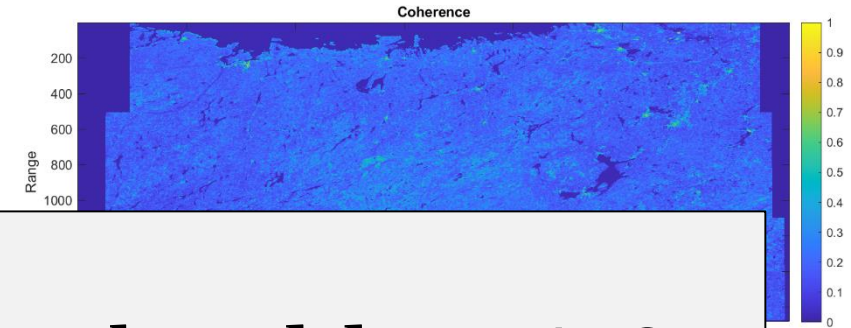
## South Africa

- $250 \times 850 \text{ km}^2$
- Very good accordance



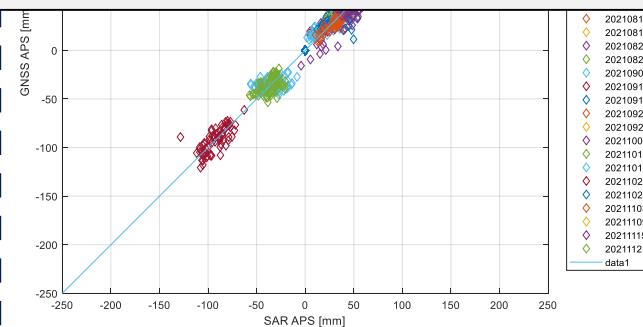
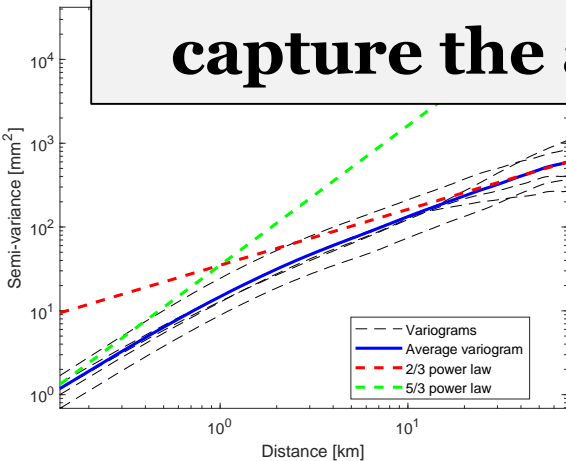
## Sweden

- Poor coherence, but still the phase is smooth



## Conclusions

- The proposed method is able to generate large scale and dense APS maps.
- The calibration procedure removes low frequency trends in the APS maps.
- Validation using theoretical models and an independent set of GNSS has been carried out confirming the capability of the method to capture the atmosphere dynamic.



## ***Subsurface target detection and imaging***

### ***Test site 1:***

- The Kermeter forested area at the Eifel Park in North-West Germany, investigated in the context of the ESA campaign TomoSense in 2019-2022



### Campaign data include:

- Tomographic P-Band data
- Bistatic airborne SAR surveys at L- and C-Band collected by flying two aircrafts in close formation, with one following the other at a distance of approximately 30 m.
- In-situ collection of relevant forest parameters at approximately 80 plots.
- Collection of TLS data at a scale of 1 ha at three to five plots.
- Installation of 5 m trihedral reflectors for P-Band calibration

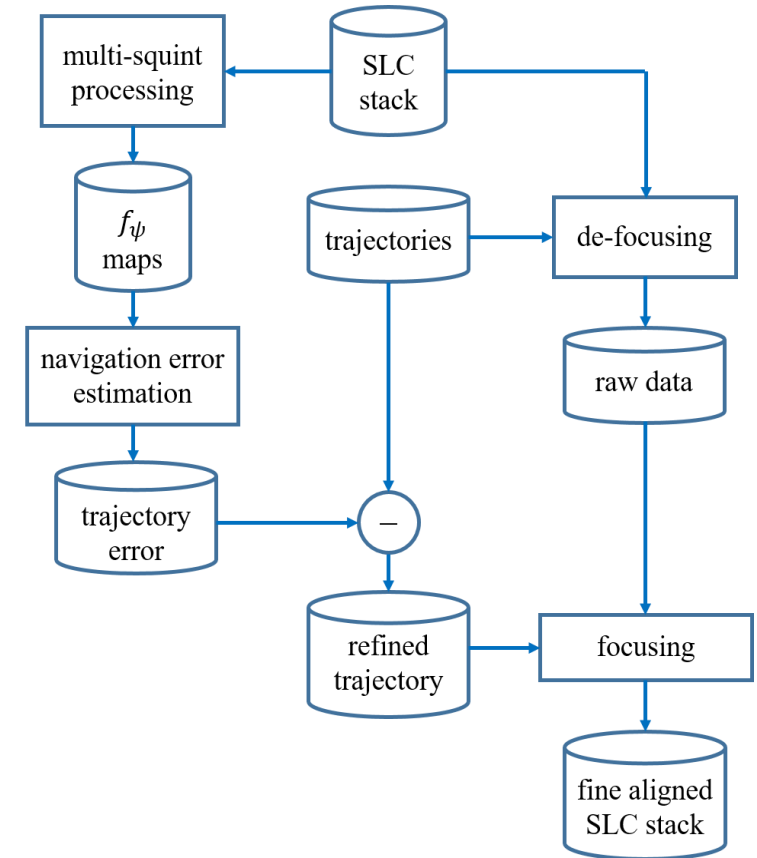
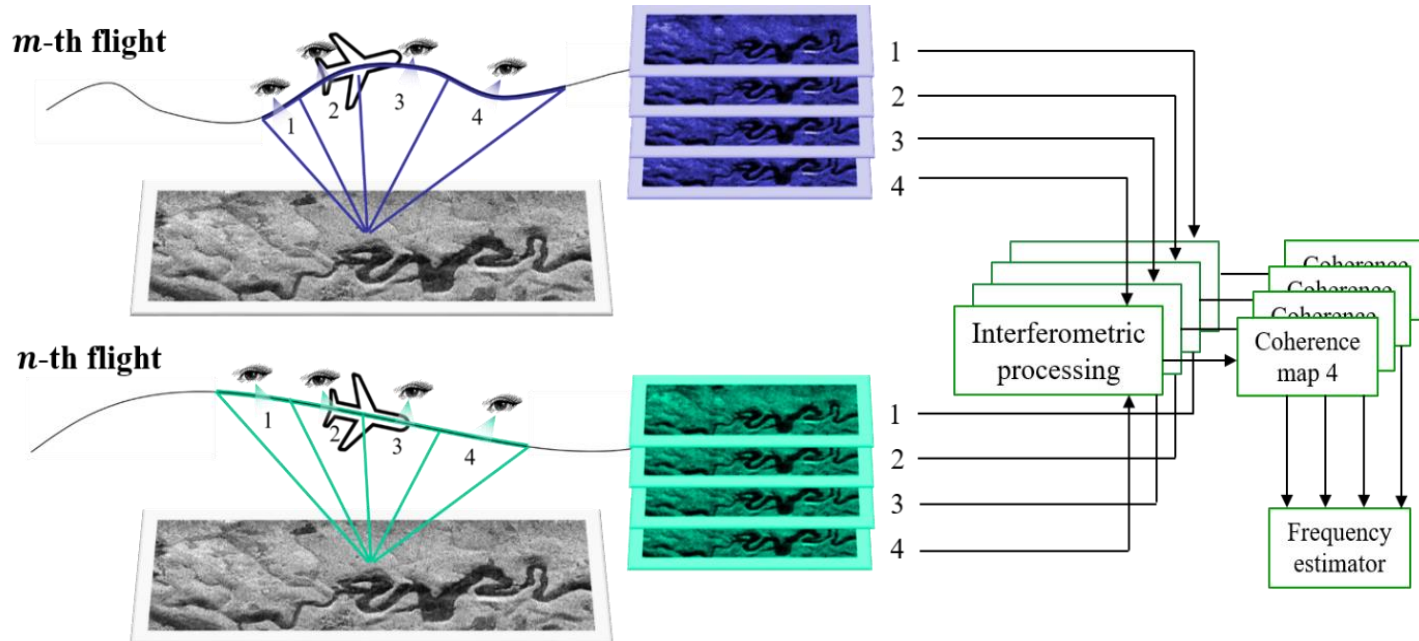


The acquired data stack turned out to be affected by space-varying azimuth co-registration errors at large ranges due to residual baseline variations unpredicted by navigational data

⇒ **Massive processing required**

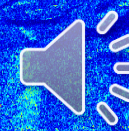
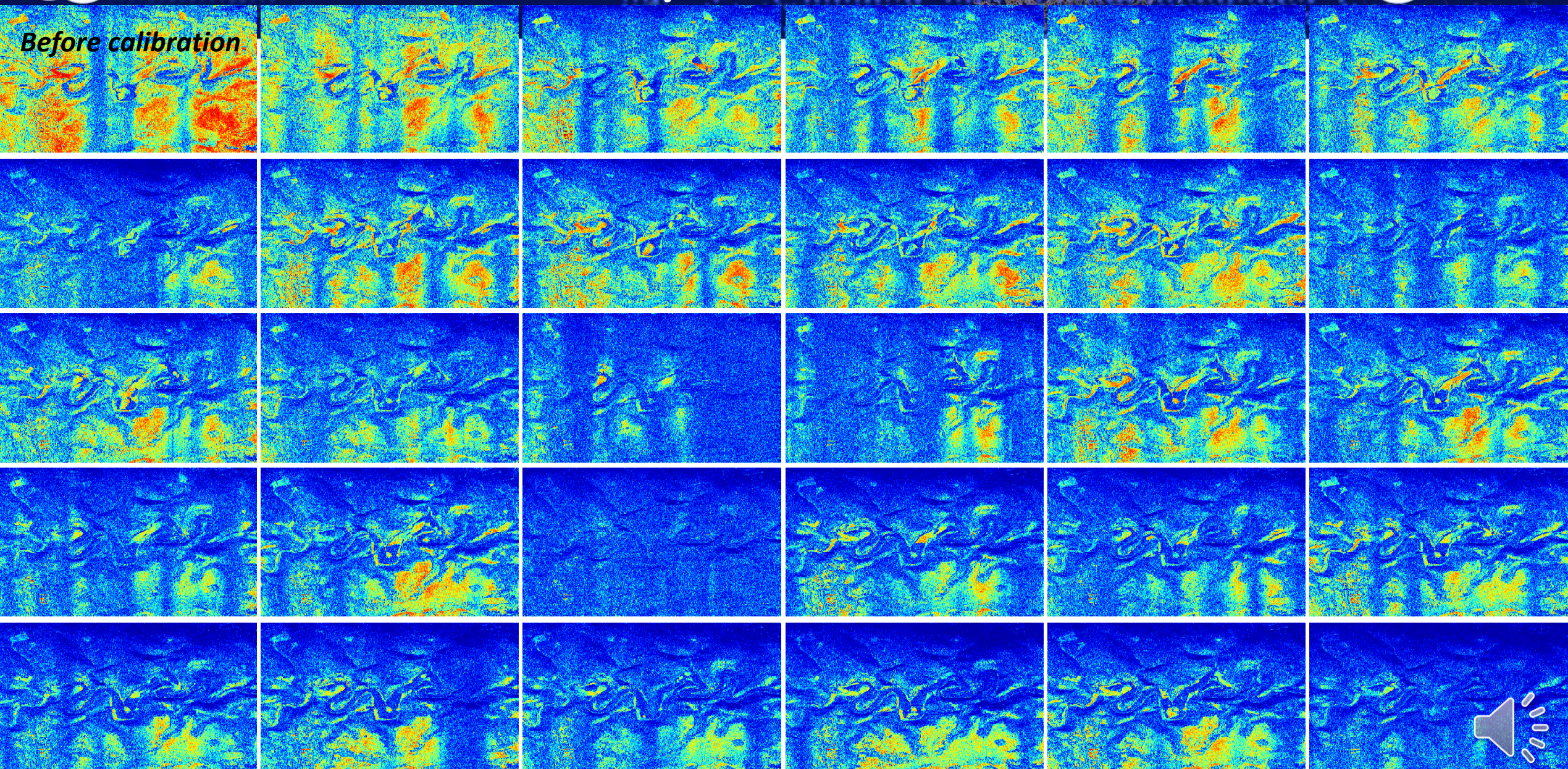
Trajectory correction was carried out by Multi-Squint Interferometry

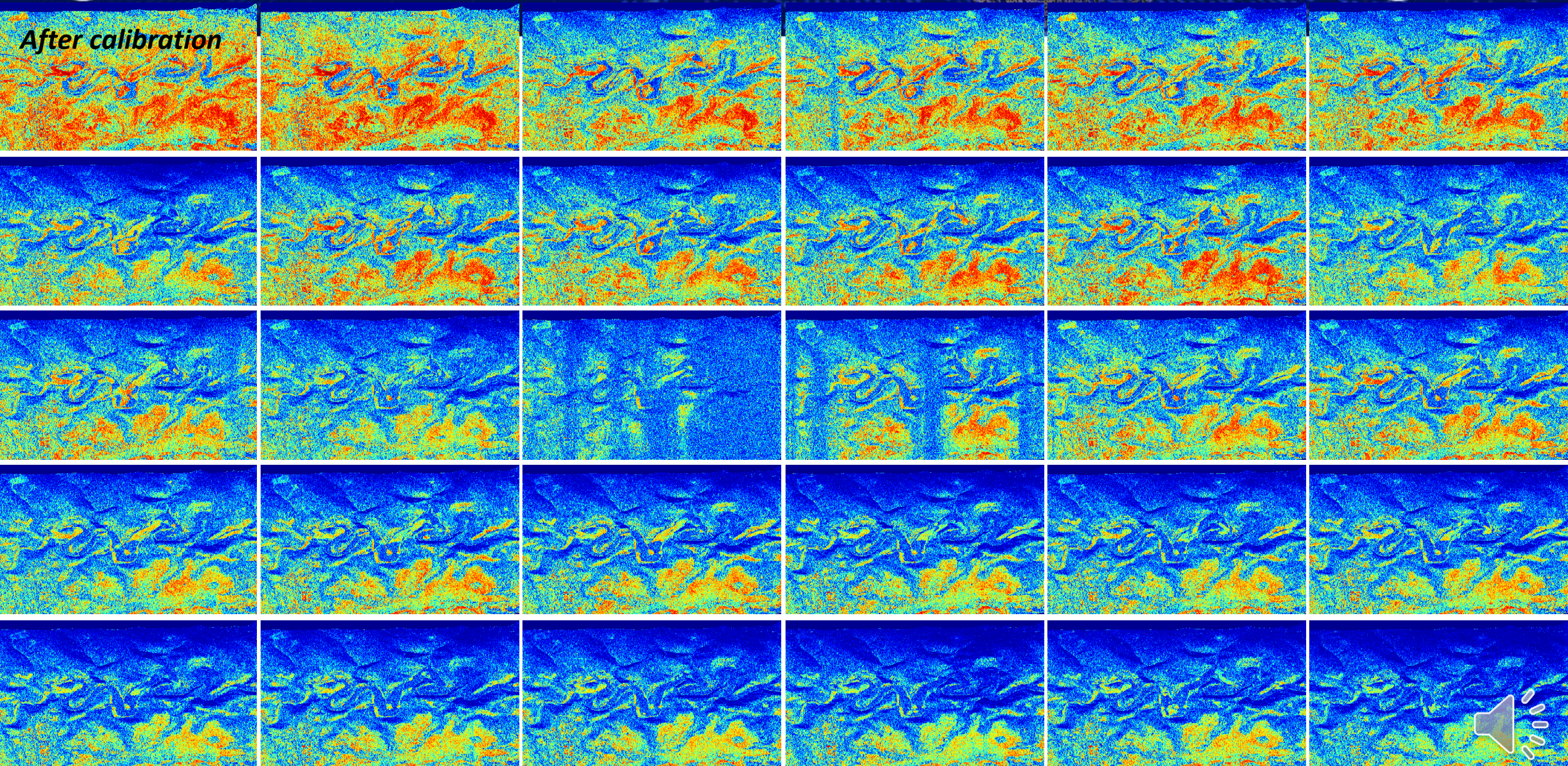
- Defocusing to raw data using trajectories from navigational data
- Formation of sub-images at different squint angles
- Trajectory estimation by multi-squint InSAR
- 2D Refocusing using corrected trajectories



**Thousands of interferograms processed**







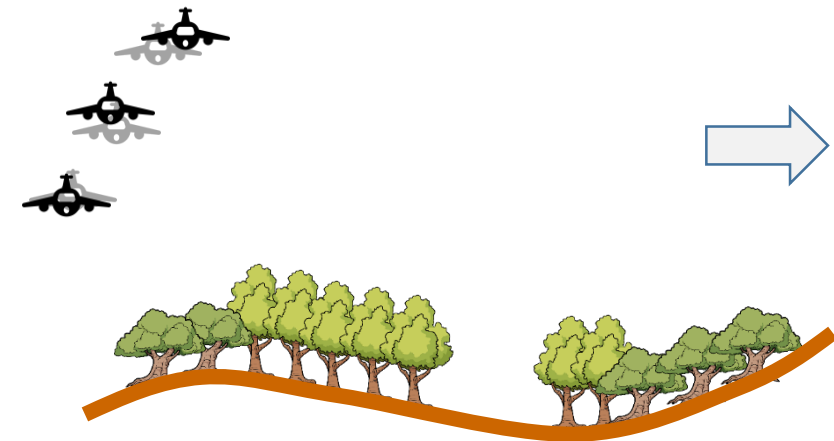
## **Tomographic calibration**

- Multi-squint InSAR recovers large part of the trajectory errors, but it is prone to neglecting slowly varying terms that cause blurring in tomographic imaging
- ⇒ Need for a further calibration step

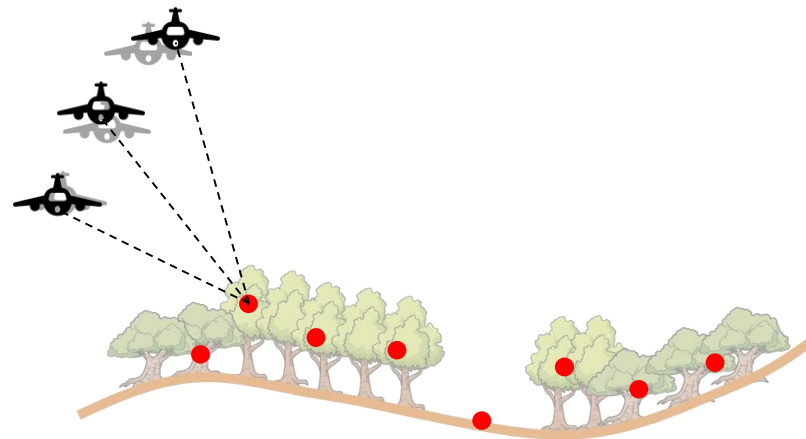
## **Bistatic Phase Center Double Localization**

- Represent a forest as a collection of point targets by (again) a joint analysis of all available interferograms
- Find the position of all sensors and all targets given the set of all  $Tx > target > Rx$  distances

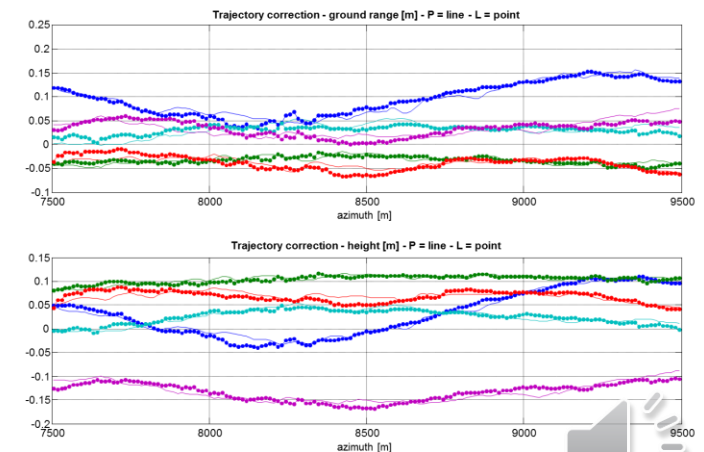
**Forest scenario**



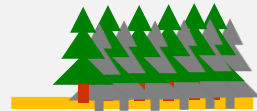
**Virtual point targets**



**Trajectory correction**

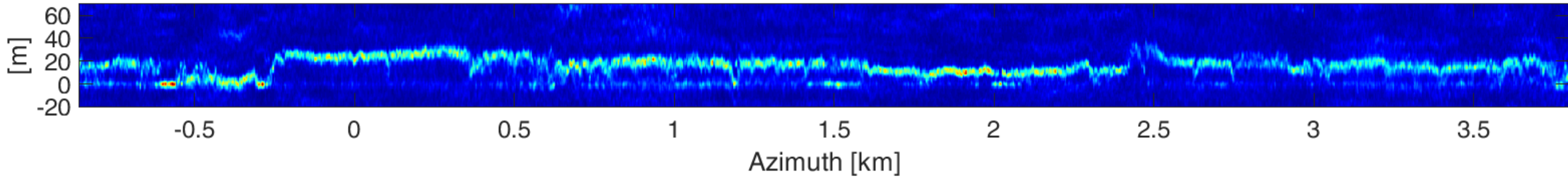




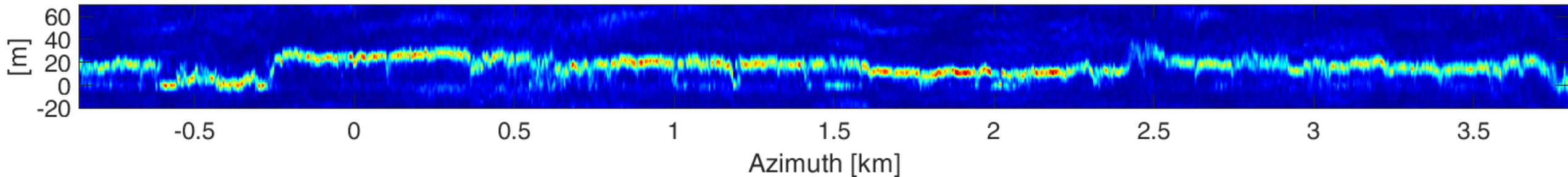


## Ground-steered L-Band vertical sections

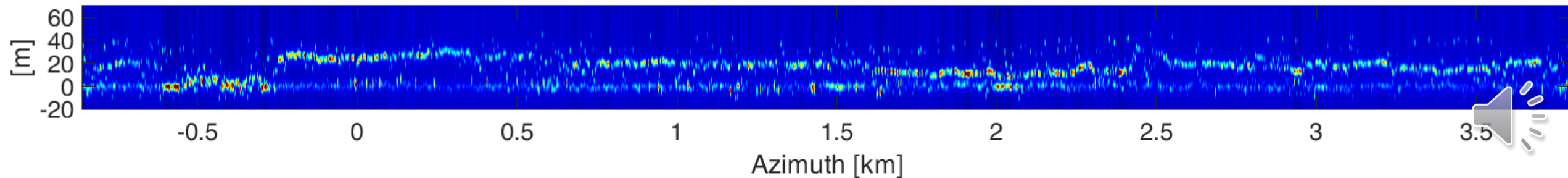
*L-Band – HH – Vertical section from repeat pass mono-static data*



*L-Band – HH – Vertical section from repeat pass bi-static data*



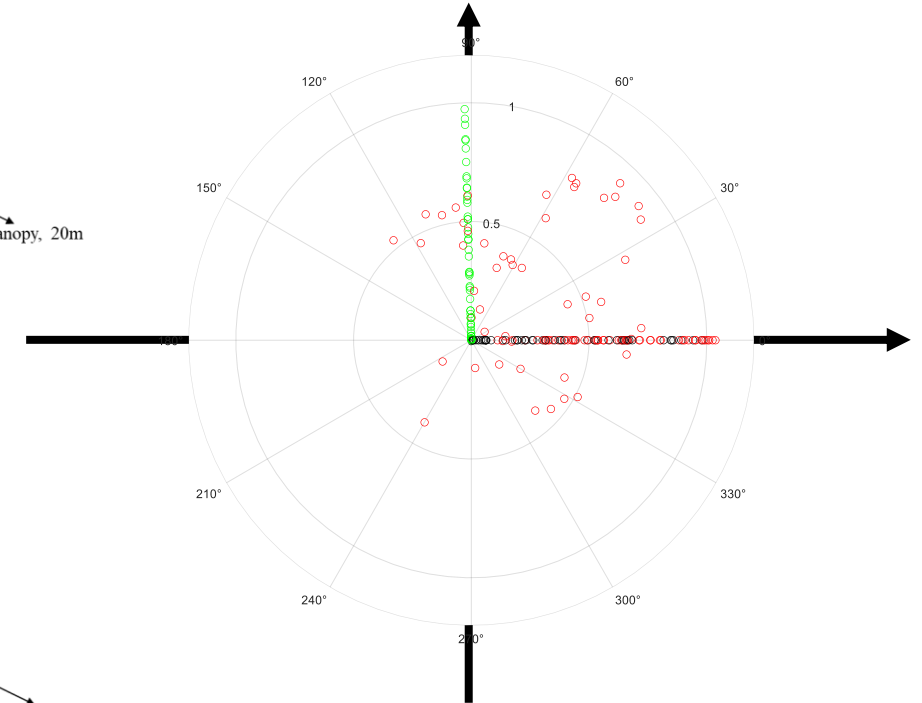
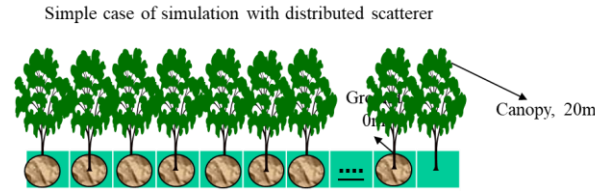
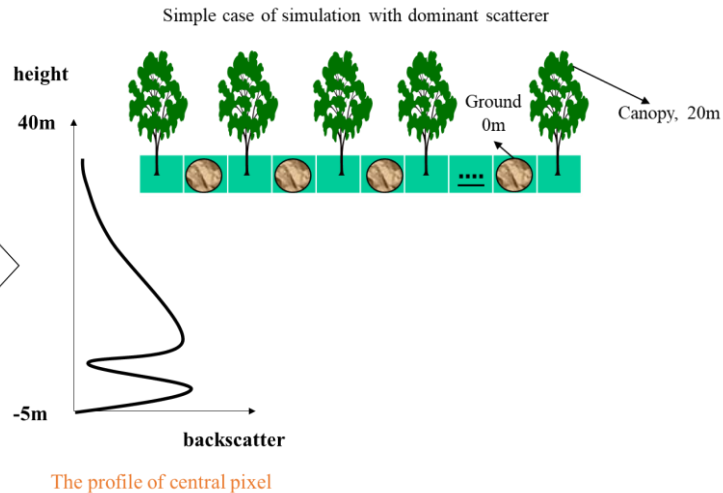
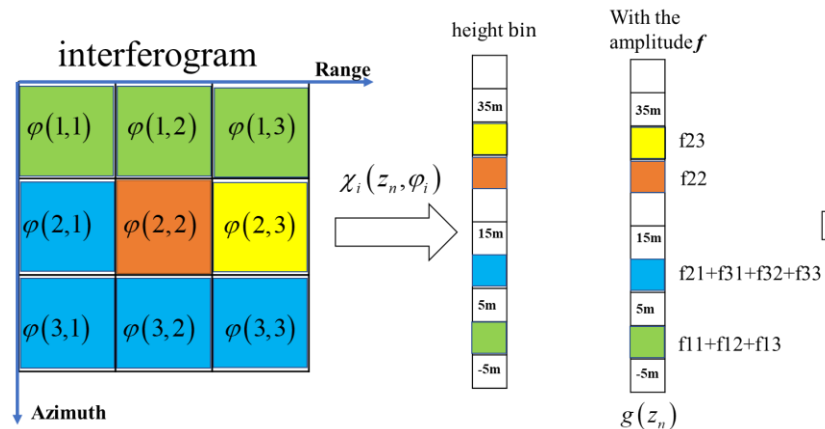
*L-Band – HH – Vertical section from simultaneous Tx/Rx interferograms*



## Investigation of the Phase Histogram technique

- Dominant scatterer model
- Distributed scatterer model

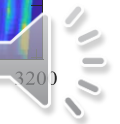
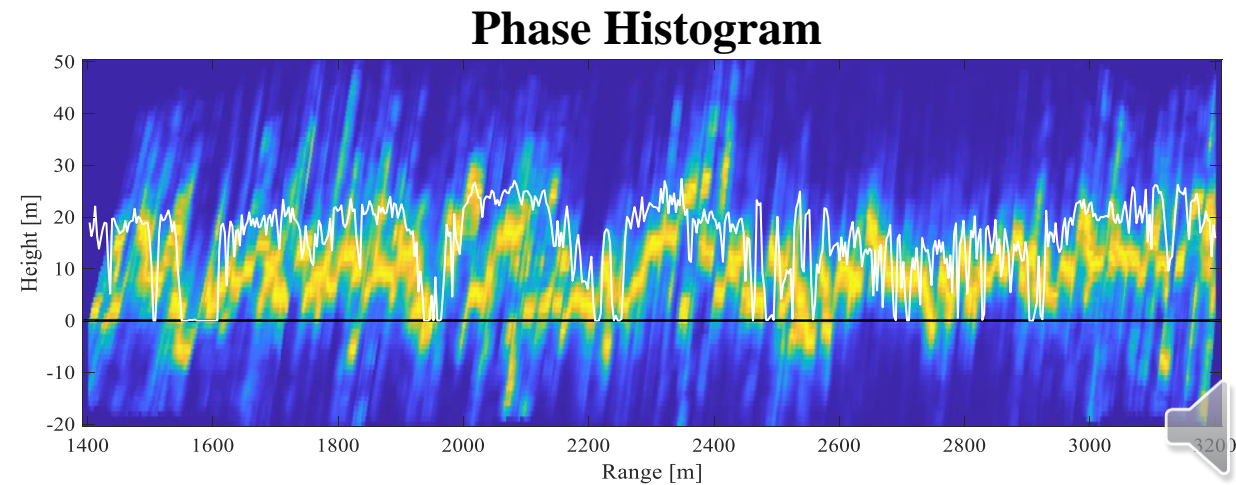
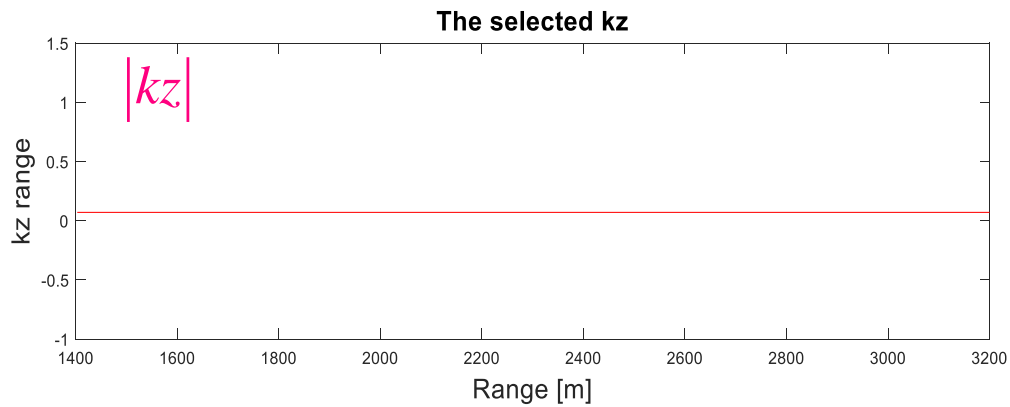
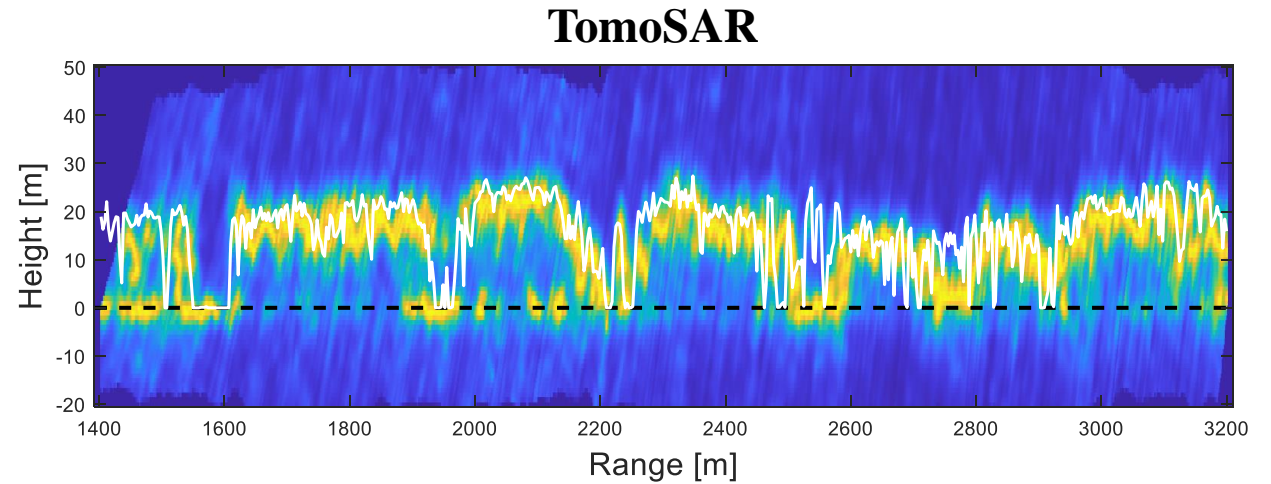
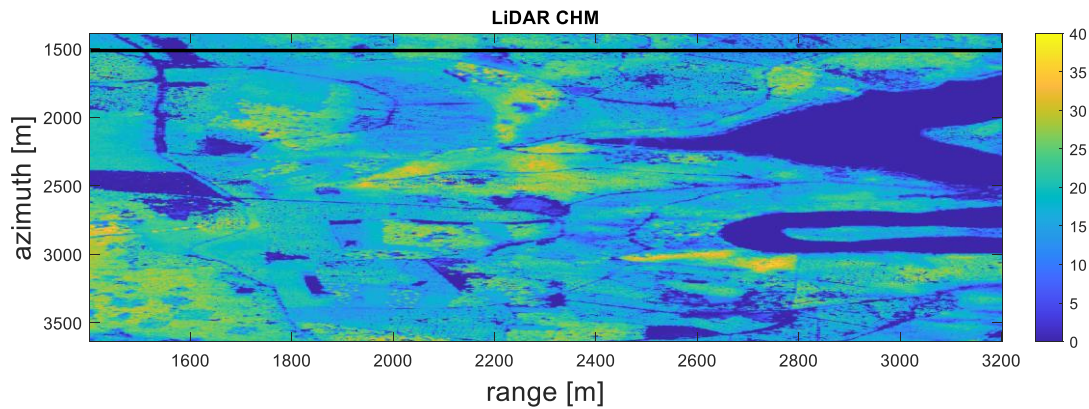
$$g(z_n) = \sum_{i=1}^M \omega_i f(s_1, s_2) \chi_i(z_n, \varphi_i)$$



Representation of Interferograms in Polar Coordinates



Generation of a super interferogram at constant  $kz$  based on joint analysis of all available (435) interferograms



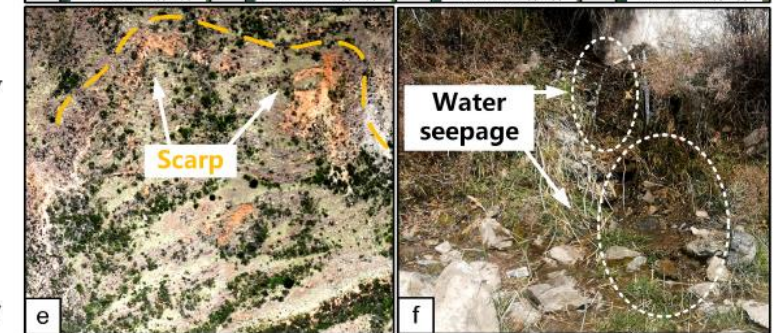
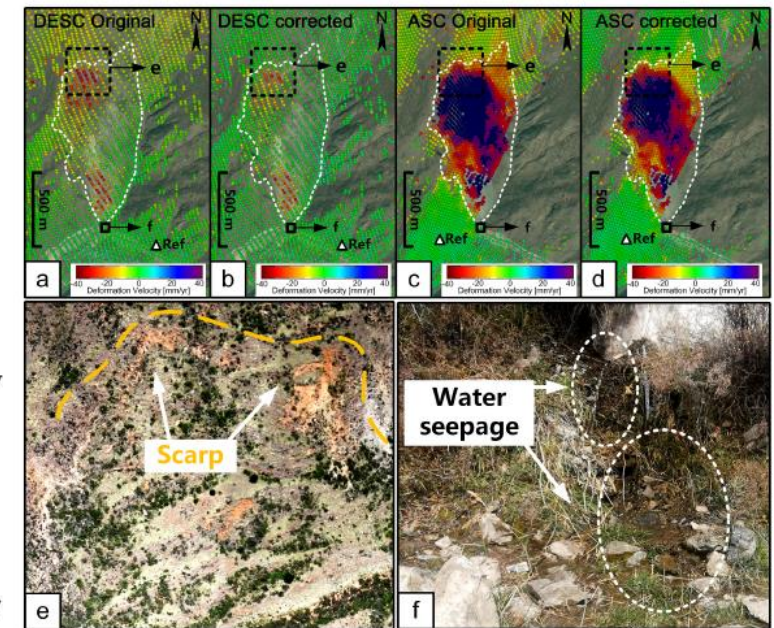
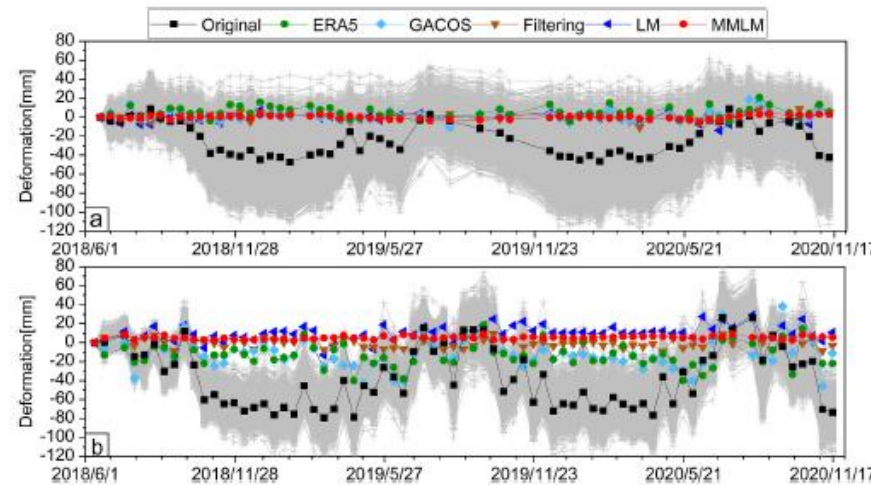
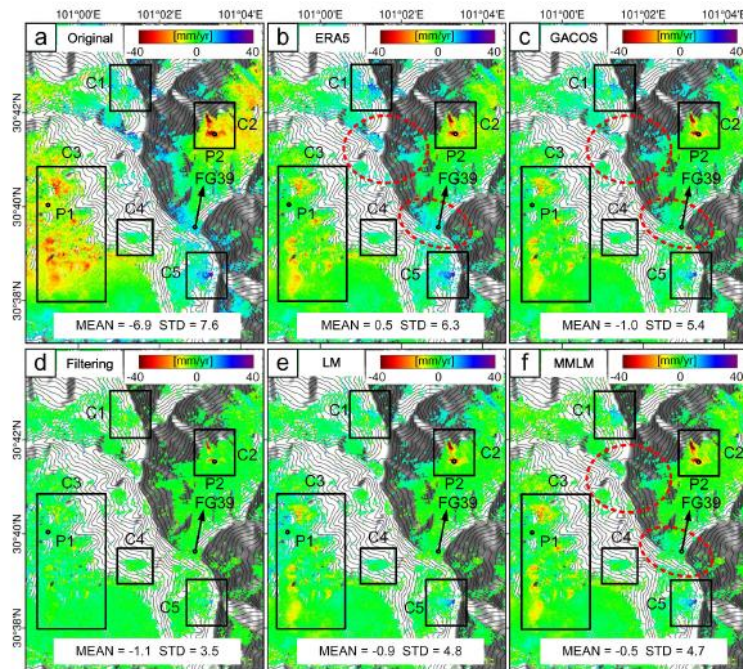
## InSAR tropospheric delay correction for wide-area landslides investigation

Test-site: Lianghekou hydropower station, China, 2018-2020

Proposing a multi-temporal moving-window linear model (MMLM)

Mitigating the influence of local turbulent phase, local landslide deformation, and phase unwrapping error on parameter estimation

Providing precise heterogeneous atmospheric corrections



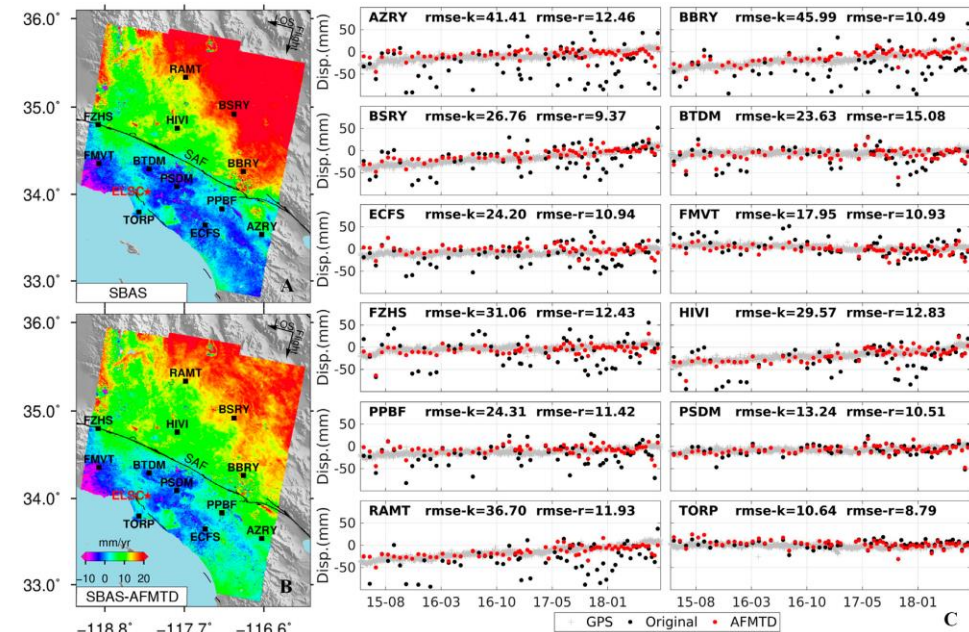
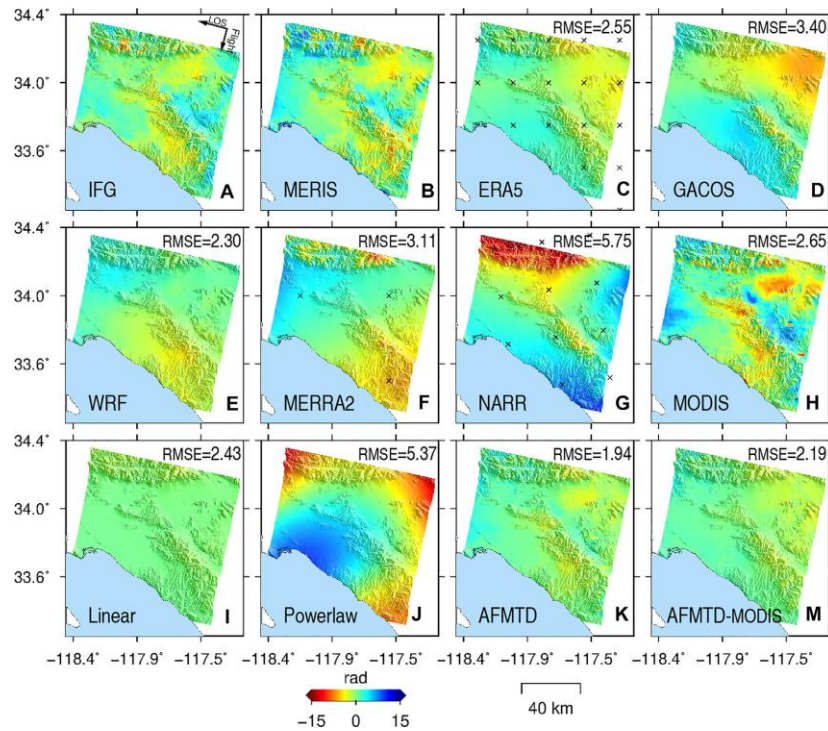
## InSAR tropospheric delay Estimates for InSAR Deformation Measurements

Test-site: Los Angeles of Southern California

Proposing an adaptive fusion of multi-source tropospheric delay (AFMTD) method

Improving the spatial heterogeneity of tropospheric delay and estimating delays more reliably

Validating with ENVISAT ASAR and Sentinel-1 datasets

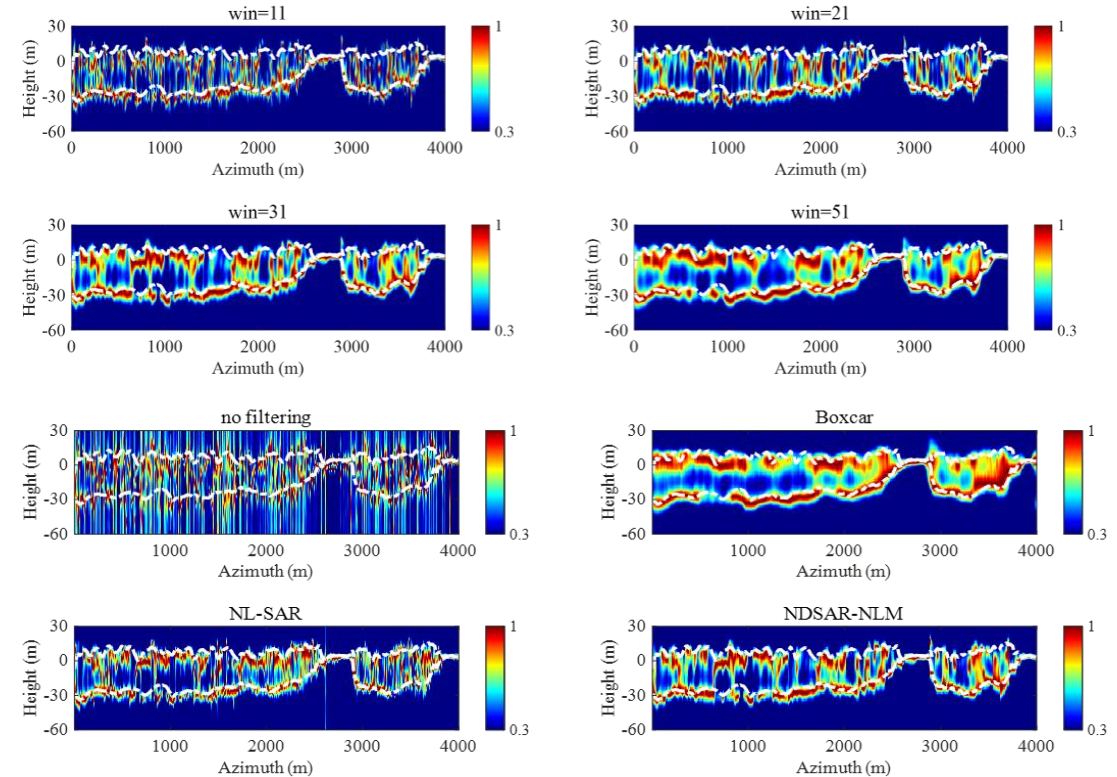
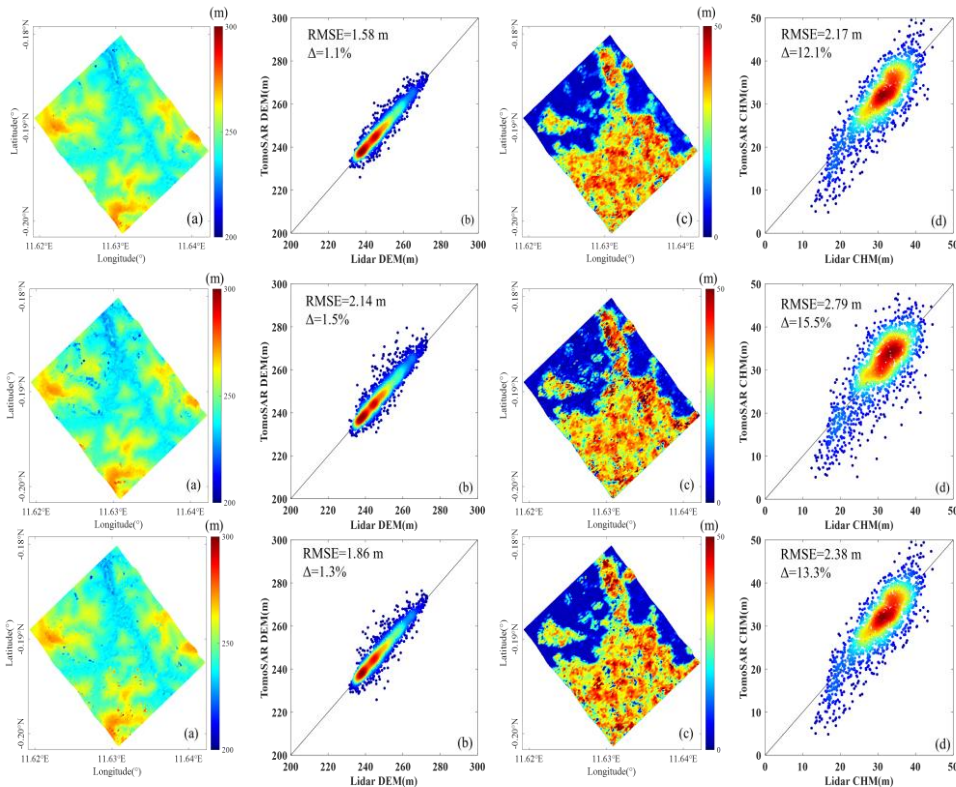


## Forest Vertical Structure Parameter Extraction by TomoSAR

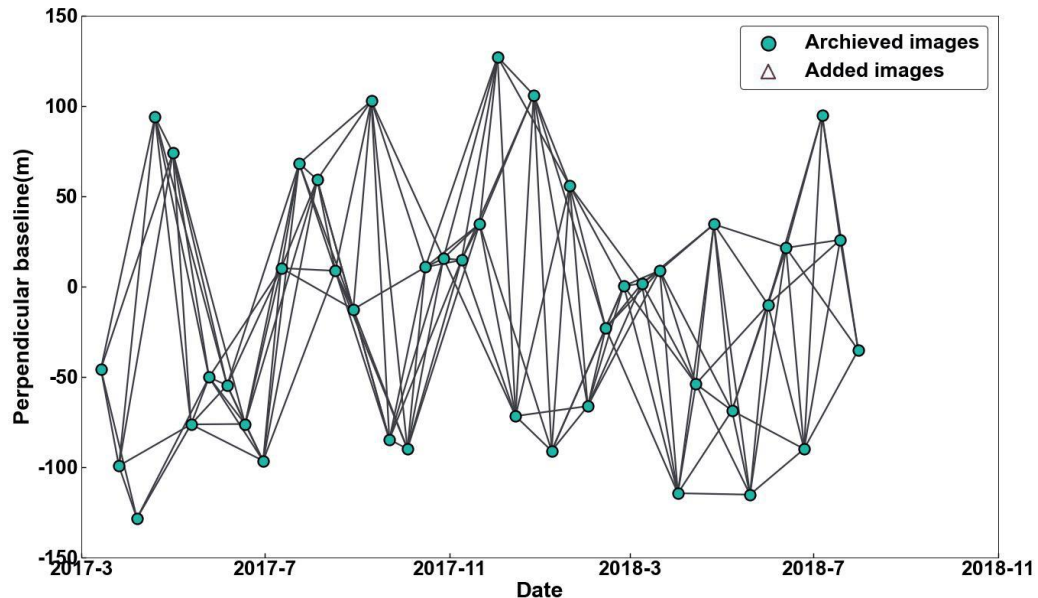
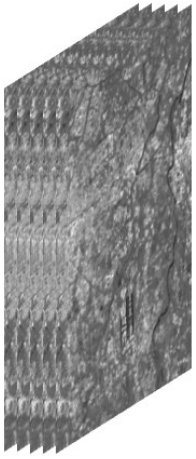
Test-site: Lopè National Park, Gabon, 2016

Evaluating different TomoSAR algorithms for forest height and underlying topography extraction

Exploring the effects of different baseline designs and filter methods on the reconstruction of the tomographic profile



## Time-series InSAR Dynamic Analysis with Robust Sequential Adjustment



### I : Robust initialization of parameters

- Generation of SBAS interferograms
- Selection of coherent pixels
- Robust time series estimation of archived data



### II : Generate new observations

- Interferograms generation corresponding to new SAR images
- phase unwrapping of new interferograms



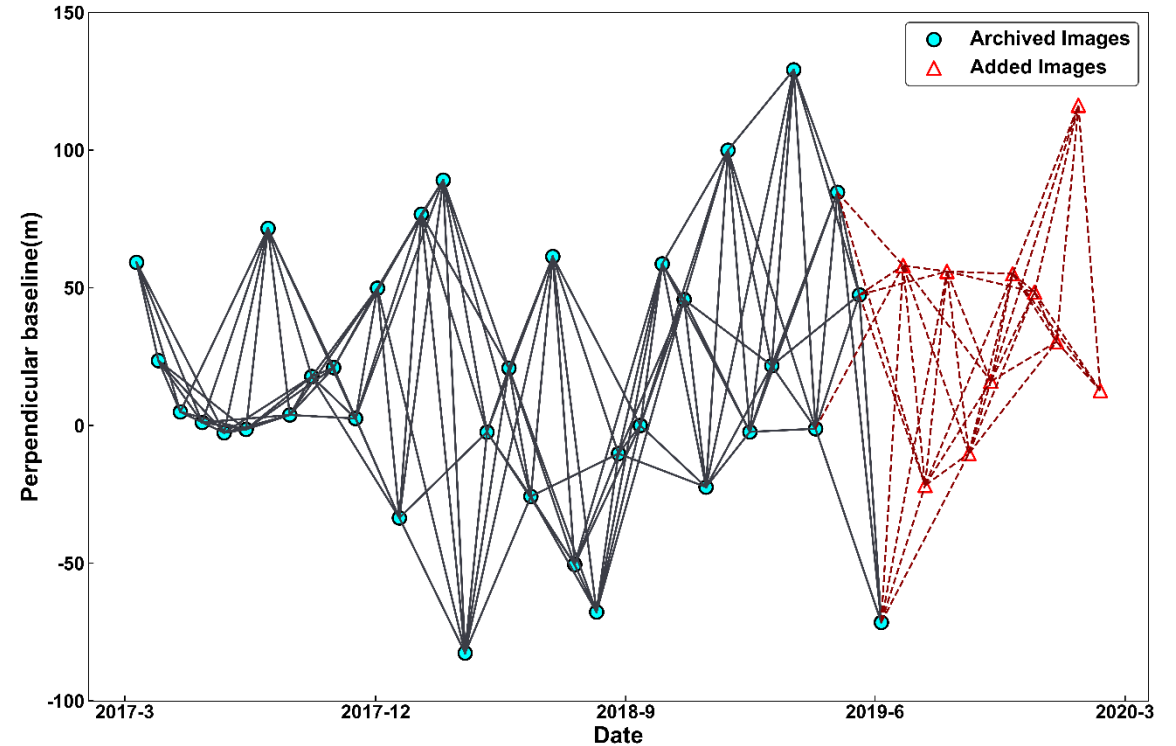
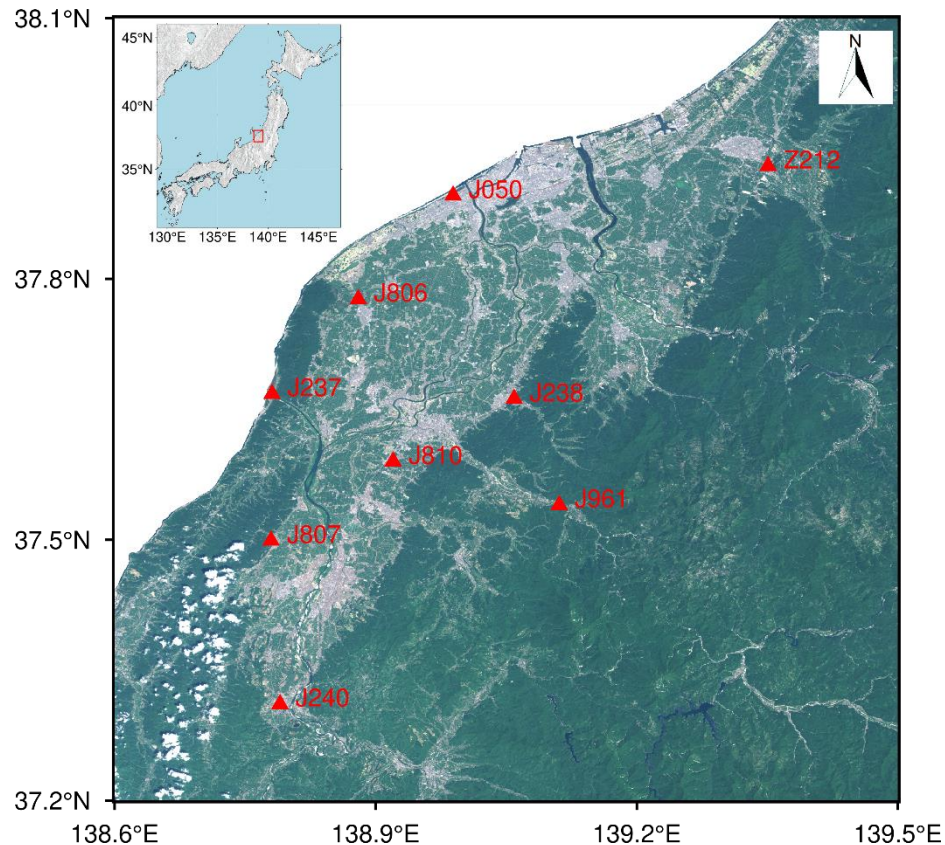
### III : Robust sequential adjustment

- Updating deformation time series corresponding to the new SAR acquisition date with robust sequential adjustment



## • Study Area and Data

- ❑ Echigo plain, the largest rice-growing area in Japan, is an alluvial plain formed by the Shinano and Agano Rivers, surrounded by sea to the north
- ❑ Triangles in red are the location of GPS stations.

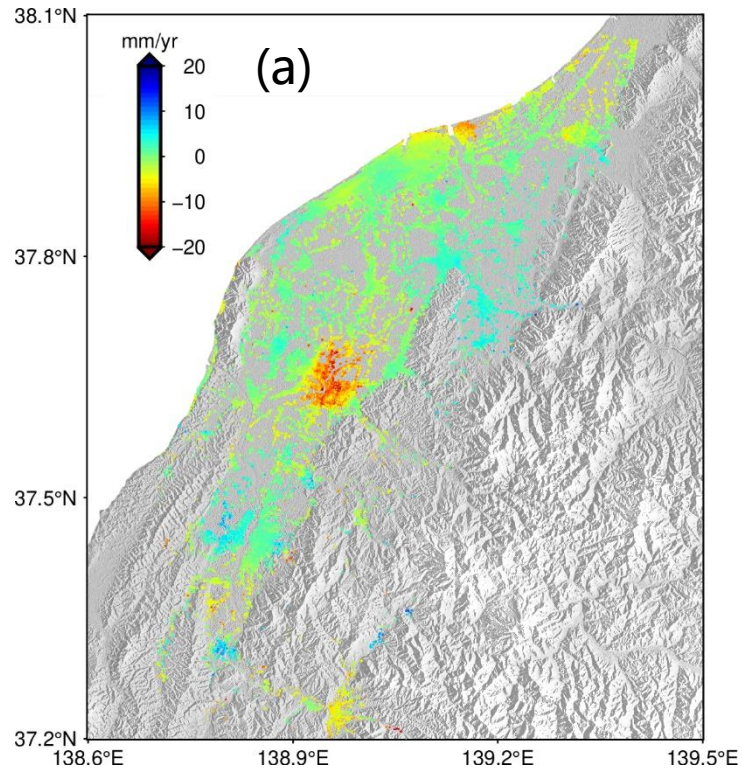


- ❑ **45 Sentinel-1 SAR images** from 14 March 2017 to 3 February 2020 were employed to generate 169 differential interferograms by setting the spatial and temporal baseline thresholds.
- ❑ For the sequential InSAR processing, we prepared 129 and 40 unwrapping phases for historical 35 SAR and 10 newly added observations respectively.

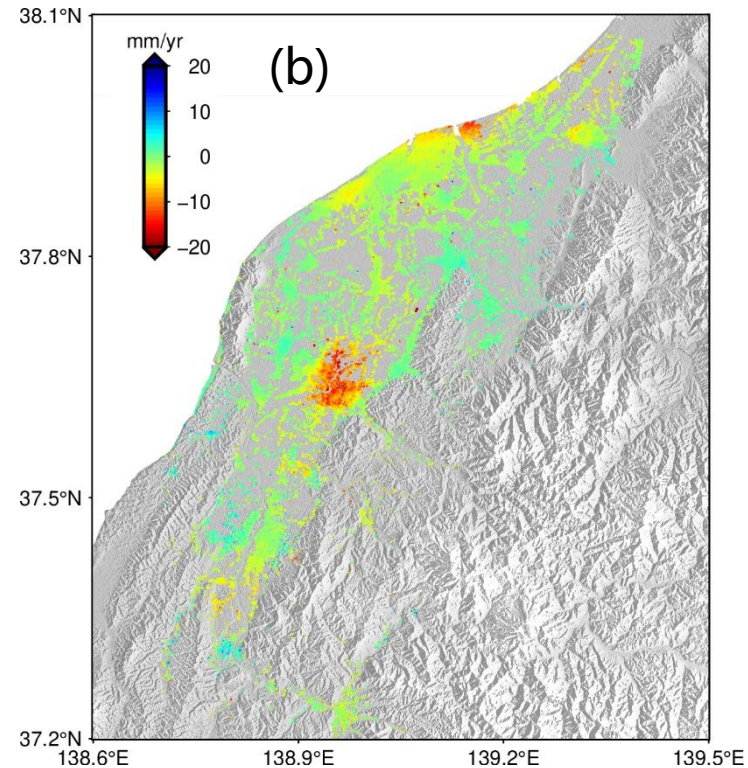


- **Results and Analysis**

- **Comparison of the Deformation Rate Maps**



Sequential least squares



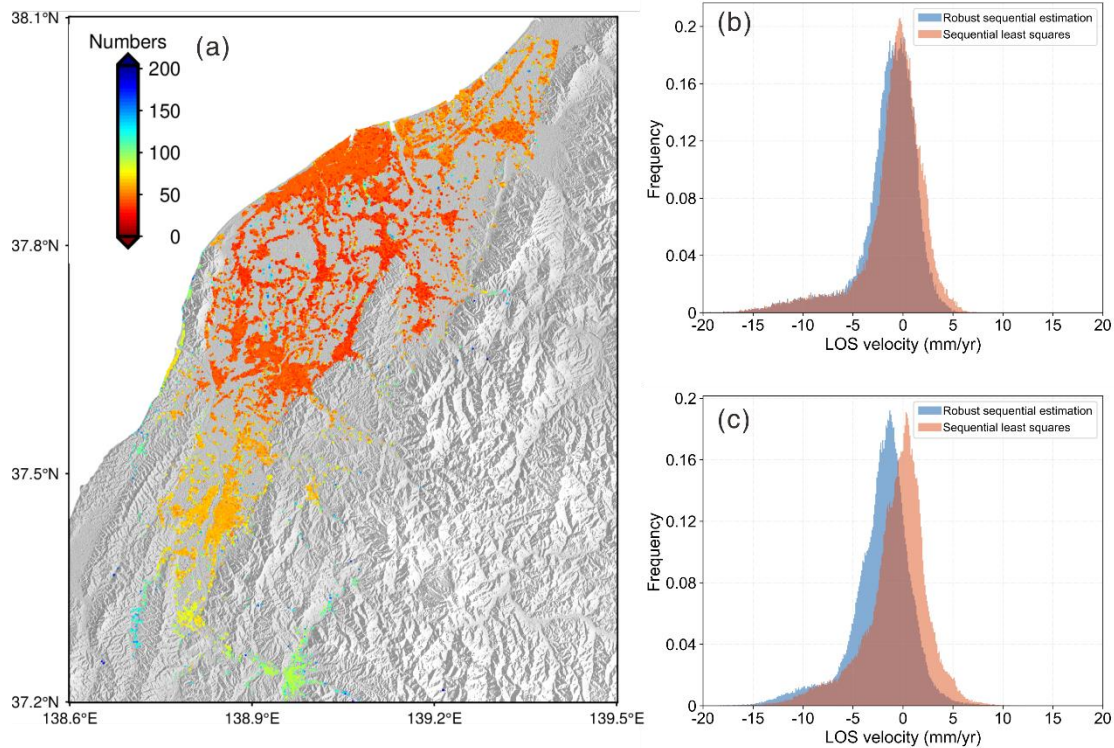
Robust sequential adjustment

- Sparse measurement points density implies **low-coherence scenarios**
- Compared with Fig(b), **the left and bottom areas** in Fig(a) seem to be **overestimated**



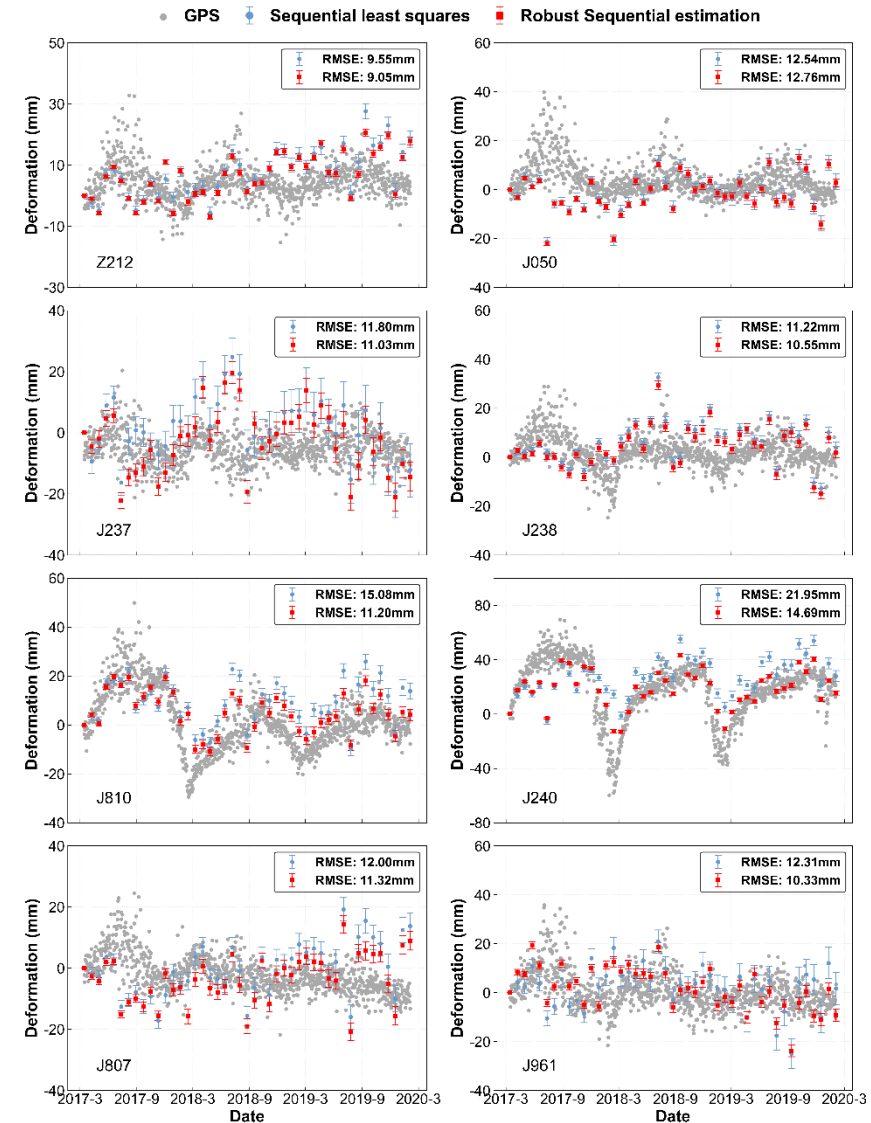
## • Results and Analysis

### ▣ Performance versus Number of Ambiguities



- Number of **non-closing loops** for each point (169 interferograms and 247 loops)
- Velocity histogram for points with non-closing loops by thresholding **15%**

### ▣ Accuracy evaluation with GPS datasets



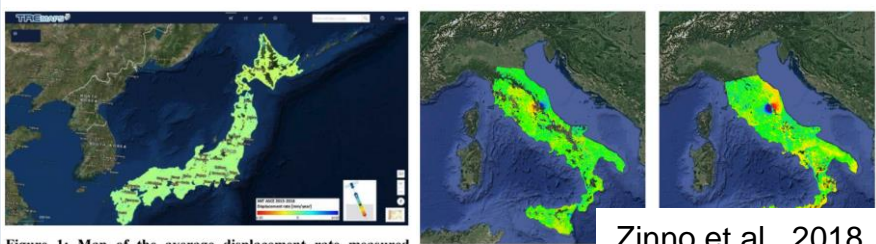


Figure 1: Map of the average displacement rate measured along ascending satellite tracks.

Zinno et al., 2018

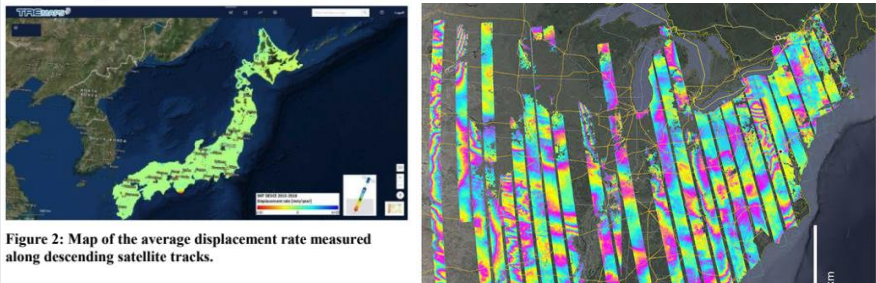
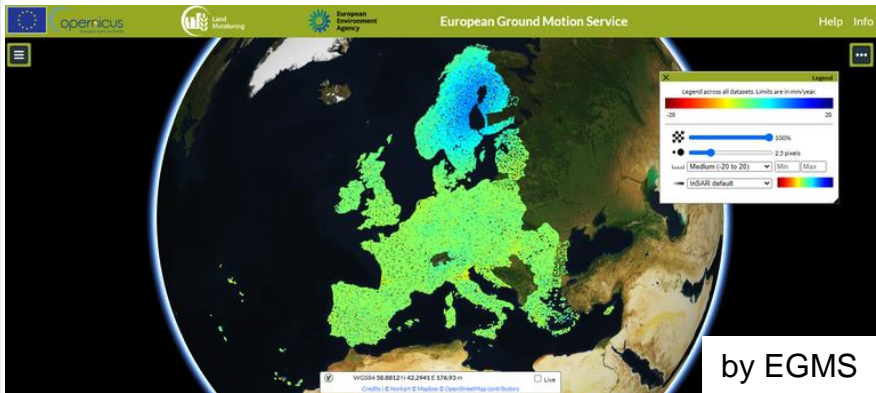


Figure 2: Map of the average displacement rate measured along descending satellite tracks.

Ferretti et al., 2019

Semple et al., 2018



by EGMS

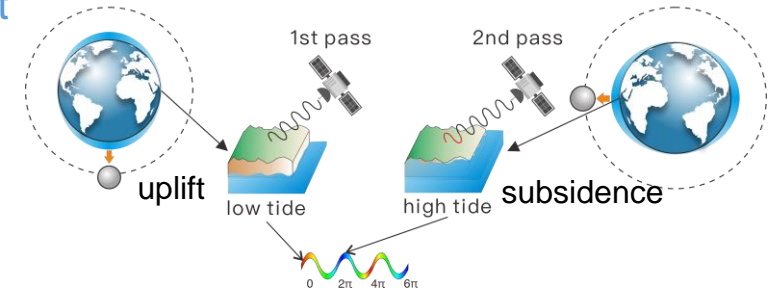
InSAR applications:  
local, regional  $\rightarrow$  large, nationwide

## Ocean tide loading effects on Large-scale InSAR Observations

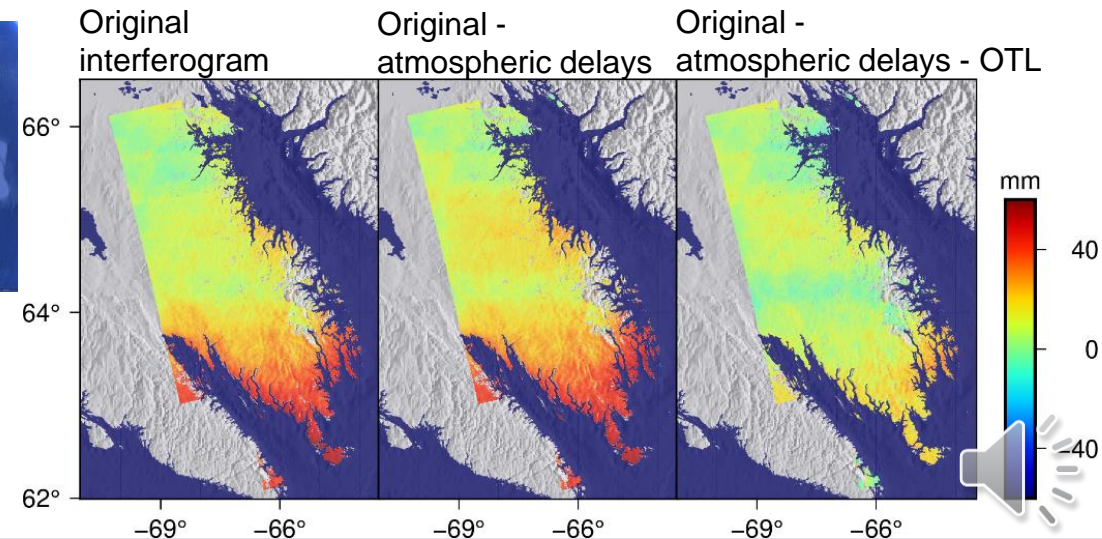
Common long wavelength errors at large scale (mitigation methods):

- ✓ Orbital uncertainties (precise orbit control, etc.)
- ✓ Ionospheric delays (split-spectral technique, etc.)
- ✓ Atmospheric delays (numerical weather model, etc.)
- Ocean tide loading (OTL) displacement

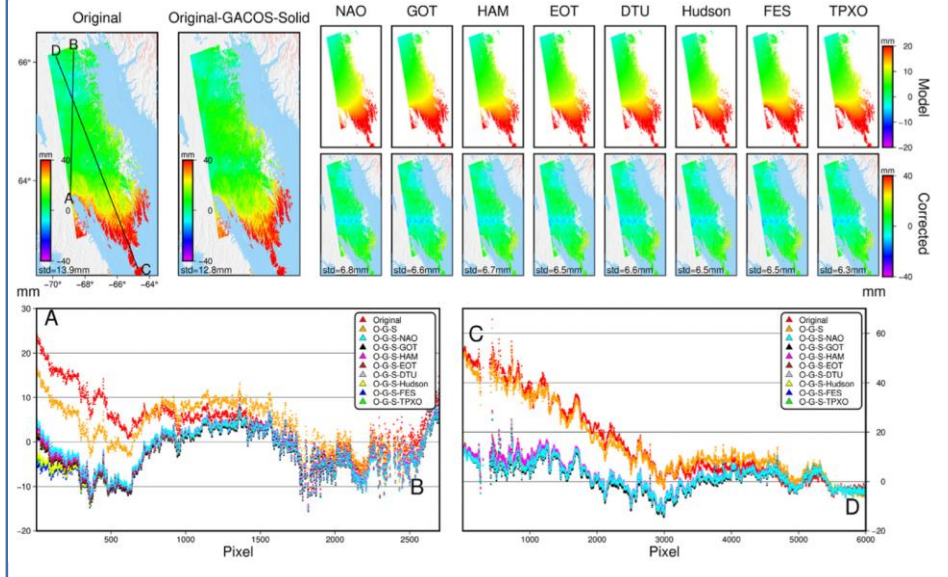
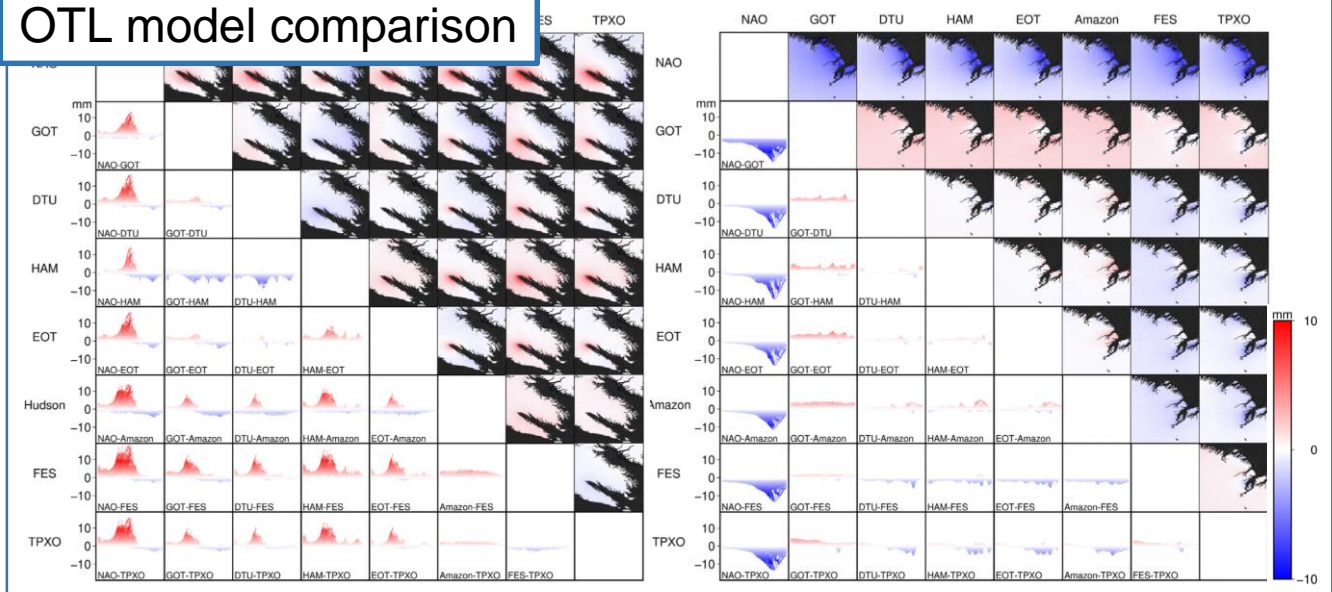
Periodic redistribution of ocean mass deforms the solid Earth.



OTL introduces long wavelength errors in interferograms



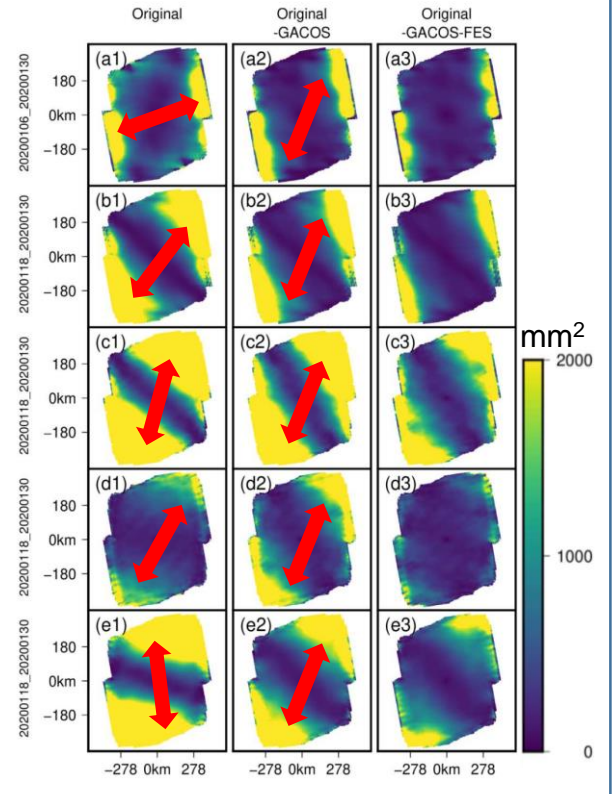
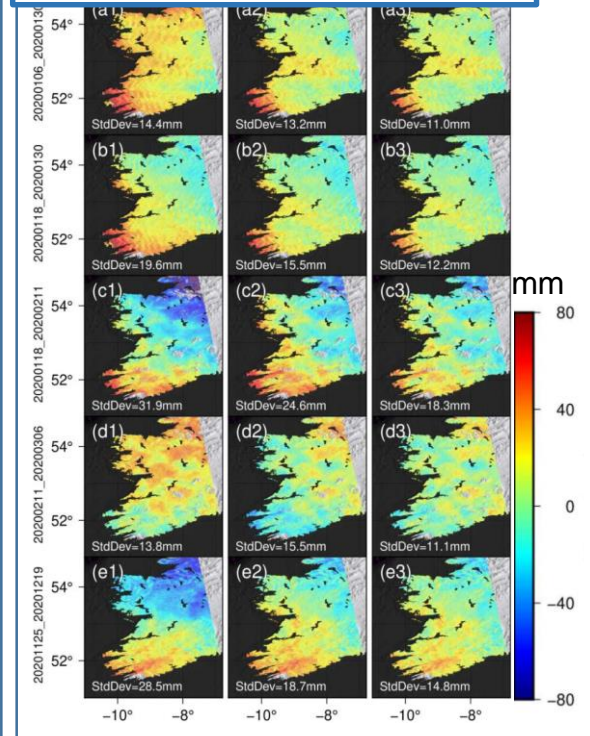
## OTL model comparison



Assessment based on ground GNSS data distributed over three sites in Canada, Brazil and France for the year 2019.

Best models:  
**FES2014**  
**TPXO9**  
**Local model**

## OTL effects in DInSAR



OTL characteristics in DInSAR:

- ❑ OTL has **larger magnitude** than atmospheric delays in some cases
- ❑ OTL distributes in a **fixed direction**





**ID. 59332**

**PROJECT TITLE: GEOPHYSICAL AND ATMOSPHERIC RETRIEVAL FROM SAR DATA STACKS OVER NATURAL SCENARIOS**

*4 Universities: PoliMi, Wuhan, Sun Yat-Sen, Pisa*

*2 European and 3 Chinese Young scientists*

**Topics reported:**

- Development of airborne and spaceborne signal processing methods for SAR data stacks
- Vertical structure of forested areas
- Tropospheric estimation and correction
- Landslides
- Updating deformation time series
- Ocean Tide Loading





**感谢您的关注**

***Grazie per l'attenzione!***

***Thanks for your attention!***

